

HCSI-101



#5/128
9/28/02
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

SEP 26 2002

TECH CENTER 1600/2900

In re the Application of:

CLARK LUM

Serial No.: 10/090,632

Filed: March 6, 2002

For: SYSTEM AND METHOD FOR
DELIVERING UMBILICAL CORD-
DERIVED TISSUE-MATCHED
STEM CELLS FOR
TRANSPLANTATION

Art Unit: 1636

Examiner: Unknown

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants wish to make of record in the above-identified application the document or documents referenced on the attached Form PTO-1449. A copy of each reference is enclosed herewith.

The cited references are mentioned in the Search Report (copy enclosed) of applicant's corresponding International patent application and are believed relevant for at least that reason.

The undersigned believes that this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Accordingly, Applicants do not believe that a fee is due for filing this paper. However, should a first action on the merits have been issued on the same day or before this Information Disclosure Statement is filed, please accept this Information Disclosure Statement under Rule 97(c) and

Serial No.: 10/090,632
Art Unit: 1636

Attorney's Docket No.: HCSI-101
Page 2

charge the requisite Rule 17(p) fee to our Deposit Account No. 50-1390, under Order No. HCSI-101 and proceed to consider this Information Disclosure Statement.

It is respectfully requested that the information be expressly considered during the prosecution of this application, and that each reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This submission does not represent that any referenced document is material or constitutes "prior art." If it should be determined that one or more of the referenced documents constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of the reference or references.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over any referenced document, should it be applied against the claims of the present application.

SHAW PITTMAN LLP
1650 Tysons Boulevard
McLean, VA 22102
Tel: 703/770-7645

Date: September 25, 2002

Respectfully submitted,

CLARK LEM

By:



Michelle S. Marks
Registration No. 41,971

MSM/lf
1274157

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

HCS101

Application Number

10/090,632

Applicant(s)

CLARK LUM

Filing Date

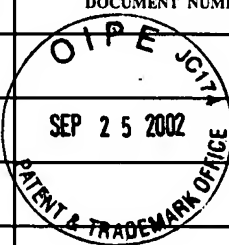
March 6, 2002

Group Art Unit

1636

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE



RECEIVED

SEP 26 2002

TECH CENTER 1600/2900

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		The UCLA Umbilical Cord Blood Bank, "Welcome to the UCLA Umbilical Cord Blood Bank Website" established November 1997, website updated August 8, 2000, at www.cordblood.med.ucla.edu .
		New England Cord Blood Bank, established 1995 at www.cordbloodbank.com

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	Docket Number (Optional) HCSI-131	Application Number 10/090,632
	Applicant(s) CLARK LUM	
	Filing Date March 6, 2002	Group Art Unit 1636

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE



RECEIVED
SEP 26 2002

TECH CENTER 1600/2900

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		American Association of Blood, established 1953, updated June 12, 2002, at www.aabb.org .

EXAMINER	DATE CONSIDERED
-----------------	------------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.